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### Administrivia

- Homework 1 due Friday (5pm). Submit code by e-mail; details in homework writeup. Turn in non-code problems in hardcopy form, in class or in my mailbox in the department office.  
Questions? Remember that I have "open lab" this afternoon and regular office hours tomorrow.
- Minute essay from last time — answer in slides; any questions?

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### Overview of Hardware, Continued

- Simplified view of hardware (as it appears to programmers) — processor(s), memory, I/O devices, bus.
- Next few sections talk about each component — what it does (from user's point of view) and low-level interface to software.

### Processors — Recap

- Basics — instruction set (primitive operations), basic fetch/decode/execute cycle, registers.
- Additional features that help in writing effective operating systems — interrupt mechanism, dual-mode operation and privileged instructions, memory protection, timer.

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### Memory Hierarchy

- In a perfect world — fast, big, cheap, as permanent as desired.
- In this world — hierarchy of types, from fast but expensive to slow but cheap: registers, cache, RAM, magnetic disk, magnetic tape. (See picture, p. 24.)
- Note also — some types volatile, some non-volatile.

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## Program Relocation

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- At the machine-instruction level, references to memory are in terms of an absolute number. Compilers/assemblers can generate these only by making assumption about where program will reside in memory.
- In the very early days, programs started at 0, so no problem. Now they hardly ever do, so we need a way to relocate programs — when loaded, or “on the fly”.
- “On the fly” relocation uses MMU (memory management unit) — which can provide both program relocation and memory protection.

Logically between CPU and memory, physically usually part of CPU.

A simple scheme — base and limit registers (described in text). When do values in them need to change?

## I/O Devices

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- What they provide (from the user's perspective):
  - Non-volatile storage (disks, tapes).
  - Connections to outside world (keyboards, microphones, screens, etc., etc.).
- Distance between hardware and “virtual machine” is large here, so usually think in terms of:
  - Layers of s/w abstraction (as with other parts of o/s).
  - Layers of h/w abstraction too: most devices attached via controller, which provides a h/w layer of abstraction (e.g., “IDE controller”).

### I/O Basics

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- CPU communicates with device controller by reading/writing device registers; device controller communicates with device.
- Memory-mapped I/O versus I/O instructions.
- Polling versus interrupts.
- Functionality for a particular device packaged as “device driver”.
- I/O in application programs — make system call.
- Recap: application program ↔ system call (to o/s) ↔ device driver ↔ device controller ↔ device

### Operating System Services, Again

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- Process management.
- Memory management.
- I/O subsystem.
- File systems.
- Security.
- Shell (discussed last time).

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## Process Management

- “Process” abstraction to represent one of a collection of “things happening at the same time”.  
A working definition — “program in execution” (program code plus associated variables, sequence of states tracking progress through code and changes in variables).
- “Concurrent” execution via interleaving of actions.  
In effect, each process has a “virtual CPU”, with the actual CPU repeatedly suspending one process to work on another (“context switch”).
- O/s must provide a way to manage this, including ways to create processes, allow/force them to terminate, communicate among them (e.g., to coordinate/synchronize).

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## Memory Management

- Managing physical memory:
  - How to divide it up among processes/programs/users — each has an “address space” of memory it can access.
  - How to protect each process’s memory from other processes (requires h/w support, but managed by o/s).
- Managing address spaces (virtual memory):
  - Originally, address space limited by size of physical memory.
  - “Virtual memory” allows bigger address spaces, by shuffling data between disk and physical memory.

## I/O Subsystem

- Encapsulates messy low-level details.
- Allows sharing of I/O devices among programs/users.

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## File Systems

- “File system” abstraction, including:
  - “File” abstraction — collection of related information, possibly with associated ownership, permissions, timestamps, etc.
  - “Directory” abstraction.
  - “Path names” — absolute and relative.
  - “Opening a file” — connecting program to file (check permissions, etc., return “file descriptor”).
- Additional Unix ideas/terms:
  - Mounting filesystems.
  - Special files — idea is to treat other devices (e.g., printers) like files.
  - Pipes — connections between processes that can be treated like file.

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## Security

- Protect users/applications from each other.
- Protect users/applications from the outside world.

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## Minute Essay

- How much of chapter 1 have you read, and how do you like this text?

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